

## AUDITS FINALISED 2022/23

Head of Service	Audit Title	Date Finalised	Assurance Level	Recommendations		
				Made	Agreed	Not Agreed
<b>Quarter 1 (18 Audits)</b>						
Cross Cutting Reviews	Local Government and Elections (Wales) Bill *	11/04/22	High	1	1	0
Highways & Transportation	Building Services - Control of Contracts *	20/04/22	High	0	0	0
Child & Family Services	Foster Swansea	28/04/22	High	0	0	0
Communications & Marketing	Communications and Public Relations Team	19/05/22	High	3	3	0
Cultural Services	Tourism and Marketing	24/05/22	High	6	6	0
Cross Cutting Reviews	Corporate Governance Review 2021/22 *	16/06/22	High	2	2	0
Legal, Democratic Services & Business Intelligence	Coroners Service	29/06/22	High	1	1	0
Planning & City Regeneration	Nature Conservation *	22/04/22	Substantial	11	11	0
Cultural Services	St Helen's Ground	26/04/22	Substantial	3	3	0
Commercial Services	Education - Review of Contracts *	10/05/22	Substantial	1	1	0
Housing & Public Health	Burials and Cremations	19/05/22	Substantial	9	9	0
Highways & Transportation	Swansea City Bus Station	24/05/22	Substantial	5	5	0
Highways & Transportation	Transport Depot	25/05/22	Substantial	10	10	0
Chief Transformation Officer Audits	Employment of Agency Staff *	01/06/22	Substantial	5	5	0
Digital & Customer Services	ICT Administration (inc. ICT Assets) *	08/06/22	Substantial	4	4	0
Child & Family Services	Nant-y-Felin Children's Home *	16/06/22	Substantial	13	13	0
Digital & Customer Services	Blue Badges	16/06/22	Substantial	3	3	0
Child & Family Services	Swansea Children's Centre & Mayhill Family Centre *	30/06/22	Substantial	7	7	0
<b>Quarter 2 (16 Audits)</b>						
Cross Cutting Reviews	Review of Departmental Gifts & Hospitality Registers	02/09/22	High	2	2	0
Computer Audits	ICT Data Storage*	05/09/22	High	2	2	0
Fundamental Systems	Employee Services (Payroll) 2022/23	06/09/22	High	5	5	0
Cultural Services	Spot Checks	26/09/22	High	2	2	0
Fundamental Systems	Treasury Management - Borrowing & Investments 2022/23	29/09/22	High	2	2	0
Education Planning & Resources	Penyrheol Comprehensive School	09/09/22	High	8	8	0
Housing & Public Health	Food & Safety Division*	21/09/22	High	5	5	0
Cross Cutting Reviews	Corporate Safeguarding	23/09/22	High	2	2	0
Child & Family Services	Discretionary Payments*	07/07/22	Substantial	10	10	0
Education Planning & Resources	Business Manager Remuneration Review	12/08/22	Substantial	3	3	0
Planning & City Regeneration	Economic Development Admin	17/08/22	Substantial	6	6	0

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Housing & Public Health	Public Protection Housing Division (Environmental Health & Housing)*	31/08/22	Substantial	5	5	0
Education Planning & Resources	Cefn Hengoed Comprehensive School	07/09/22	Substantial	10	10	0
Housing & Public Health	Rechargeable Works	01/09/22	Moderate	4	4	0
Cultural Services	Destination Lettings	21/09/22	Moderate	6	6	0
Child & Family Services	Western Bay Adoption Service & Adoption Allowances	28/09/22	Moderate	12	12	0
<b>Quarter 3 (10 Audits)</b>						
Communications & Marketing	Emergency Management & Business Continuity	04/10/22	High	7	7	0
Education Planning & Resources	Dylan Thomas Comprehensive School	18/10/22	High	8	8	0
Computer Audits	Software Licences	28/12/22	High	3	3	0
Cultural Services	Libraries Admin & Central Library	27/10/22	Substantial	5	5	0
Child & Family Services	Residential & Outdoor Centres	27/10/22	Substantial	20	20	0
Legal, Democratic Services & Business Intelligence	Welsh Translation Unit	16/11/22	Substantial	12	12	0
Waste Management & Parks	Waste Management	14/12/22	Substantial	7	7	0
Education Planning & Resources	Gowerton Comprehensive School	20/12/22	Substantial	9	9	0
Education Planning & Resources	Primary School Procurement Thematic Review	20/12/22	Substantial	2	2	0
Highways & Transportation	Civil Parking Enforcement	22/12/22	Substantial	7	7	0
<b>Quarter 4 (29 Audits)</b>						
Fundamental Systems - Financial Services	Cash 2022/23	12/01/23	High	2	2	0
Highways & Transportation	Transport Support	23/01/23	High	3	3	0
Education Planning & Resources	Decarbonisation Programme	24/01/23	High	1	1	0
Financial Services	Insurance	24/01/23	High	0	0	0
Financial Services	Fostercare System - Application Controls	30/01/23	High	1	1	0
Child & Family Services	Emergency Duty Team	08/02/23	High	4	4	0
Fundamental Systems - Financial Services	Main Accounting System 2022/23	10/03/23	High	1	1	0
Property Services	Estates Management & Quadrant Rents	23/03/23	High	5	5	0
Highways & Transportation	Concessionary Fares **	31/03/23	High	1	1	0
Adult Services	Fforestfach Day Service	13/01/23	Substantial	17	17	0
Housing & Public Health	Furnished Tenancy Scheme	24/01/23	Substantial	8	8	0
Communications & Marketing	Health Safety & Wellbeing	13/02/23	Substantial	15	15	0
Vulnerable Learner Service	Elective Home Education Provision	24/02/23	Substantial	4	4	0
Waste Management & Parks	Grounds Maintenance & Central Operations	02/03/23	Substantial	15	13	2
Fundamental Systems - Financial Services	Council Tax 2022/23	10/03/23	Substantial	5	5	0
Planning & City Regeneration	Planning Services - Administration & Fees	17/03/23	Substantial	11	11	0
Cross Cutting Reviews	Risk Management (Education) 2022/23	22/03/23	Substantial	4	4	0

## AUDITS FINALISED 2022/23

Fundamental Systems - Financial Services	Accounts Payable 2022/23	24/03/23	Substantial	14	14	0
Highways & Transportation	Streetworks	27/03/23	Substantial	5	5	0
Adult Services	CREST	27/03/23	Substantial	8	8	0
Fundamental Systems - Financial Services	Business Rates (NNDR) 2022/23	29/03/23	Substantial	8	8	0
Education Planning & Resources	Schools IR35 Thematic Review	29/03/23	Substantial	10	10	0
Communications & Marketing	Design Print	30/03/23	Substantial	10	10	0
Communications & Marketing	Civic Admin Mayoral Services & Mansion House	31/03/23	Substantial	8	8	0
Commercial Services	Retrospective Orders on Oracle	31/03/23	Substantial	5	5	0
Financial Services	Pension Fund Other Transactions **	31/03/23	Substantial	2	2	0
Human Resources & Service Centre	Disclosure & Barring Service (DBS)*	01/02/23	Moderate	6	6	0
Fundamental Systems - Financial Services	Accounts Receivable 2022/23	24/03/23	Moderate	14	14	0
Highways & Transportation	Fleet Maintenance **	31/03/23	Moderate	9	9	0
				<b>Total</b>	<b>444</b>	<b>442</b>
						<b>2</b>

\*Audits completed from the 2021/22 audit plan

\*\*Audits finalised shortly after year end

2022/23 Audit Assurance Ratings Summary		
Total Number of Audits Finalised	73	%
High Assurance	27	37%
Substantial Assurance	40	55%
Moderate	6	8%
Limited	0	0%